

**PATENT**

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of : Peronek, et al.  
For : APPARATUS AND METHOD TO  
PREVENT BOTTLE ROTATION  
Our Docket : FCIE 2 13321-1

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

In accordance with the disclosure requirements of 37 C.F.R. 1.97 and 37 C.F.R. 1.98,  
Applicant cites the references identified on the enclosed form PTO-1449.

Copies of the documents are not submitted pursuant to 37 C.F.R. 1.98(d) since copies of the  
documents were previously cited by or submitted to the Office in prior United States Application  
Serial No. 10/078,973 filed February 19, 2002, which is relied upon for an earlier filing date under  
35 U.S.C. §120.

Respectfully submitted,  
FAY, SHARPE, FAGAN, MINNICH & McKEE

By: 

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(Substitute for Form 1449A/PTO)  
**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Complete if Known

Application Number  
Filing Date  
First Named Inventor Peronek  
Art Unit  
Examiner Name  
Attorney Docket Number FCIE 2 13321-1

Sheet 1 of 3

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
		1,055,796	03/11/1913	Recht	
		1,912,677	06/06/1933	Williams	
		2,987,313	06/06/1961	Bjering, et al.	
		3,432,989	03/18/1969	Bouzeau	
		3,771,284	11/13/1973	Boeckmann, et al.	
		3,805,488	04/23/1974	Holstein	
		3,812,646	05/28/1974	Baldyga, et al.	
		3,831,344	08/27/1974	Over	
		3,875,725	04/08/1975	Carmichael	
		4,098,059	07/04/1978	Chattillion	
		4,114,347	09/19/1978	Morris, et al.	
		4,120,135	10/17/1978	Baldyga	
		4,143,754	03/13/1979	Eldred	
		4,280,612	07/28/1981	Nagano	
		4,295,320	10/20/1981	Willingham	
		4,624,098	11/25/1986	Trendel	
		4,663,913	05/12/1987	Trendel	
		4,755,404	07/05/1988	Collette	
		4,765,119	08/23/1988	Aidlin, et al.	
		4,817,363	04/04/1989	St. Clair	
		4,939,890	07/10/1990	Peronek, et al.	

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T*
		Country Code <sup>3</sup>	Number <sup>4</sup>	Kind Code <sup>5</sup> (if known)				

Examiner  
Signature

Date  
Considered

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16, if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

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